

**UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
REGION 5**

**IN THE MATTER OF:**

**City of Oglesby  
110 East Walnut Street  
Oglesby, Illinois 61348**

**ATTENTION:**

**James Vohs  
Water Superintendent  
[waterman632003@yahoo.com](mailto:waterman632003@yahoo.com)**

**Request to Provide Information Pursuant to the Clean Water Act**

The U.S. Environmental Protection Agency is requiring the City of Oglesby (“Oglesby” or “you”) to submit certain information about the facility at 215 Field Avenue, Oglesby, Illinois (“the Oglesby Facility”). Appendix A provides the instructions needed to answer this information request, including instructions for electronic submissions. Appendix B specifies the information that you must submit. You must send this information to us within 30 calendar days after you receive this request. If you are unable to respond in a timely fashion because of impacts related to the COVID-19 pandemic, please submit a written extension request via email to [ellens.newton@epa.gov](mailto:ellens.newton@epa.gov), explaining the specific impacts on your ability to respond.

We are issuing this information request under Section 308(a) of the Clean Water Act (“CWA”), 33 U.S.C. § 1318(a). Section 308(a) of the CWA authorizes the Administrator of EPA to require the submission of information by owners or operators of any point source. The Administrator has delegated this authority to the Director of the Enforcement and Compliance Assurance Division, Region 5.

Section 308(a) of the CWA, 33 U.S.C. § 1318(a), provides, among other things, that whenever required to carry out an objective of the CWA, the Administrator of EPA shall require

the owner or operator of any point source to: (i) establish and maintain such records, (ii) make such reports, (iii) install, use, and maintain such monitoring equipment or methods (including where appropriate, biological monitoring methods), (iv) sample such effluents (in accordance with such methods, at such locations, at such intervals, and in such manner as the Administrator shall prescribe), and (v) provide such other information as the Administrator may reasonably require.

Oglesby owns and operates a point source at the Oglesby Facility. We are requesting this information to determine whether this source is complying with the CWA.

Oglesby must send all required information to [ellens.newton@epa.gov](mailto:ellens.newton@epa.gov). If, for some reason, materials cannot be transmitted electronically, submissions can be sent to:

Water Enforcement and Compliance Assurance Branch  
Enforcement and Compliance Assurance Division  
U.S. Environmental Protection Agency  
Region 5  
Attn: Newton Ellens, ECW-15J  
77 W. Jackson Boulevard  
Chicago, Illinois 60604

Oglesby must submit all required information under an authorized signature with the following certification:

I certify under penalty of law that I have examined and am familiar with the information in the enclosed documents, including all attachments. Based on my inquiry of those individuals with primary responsibility for obtaining the information, I certify that the statements and information are, to the best of my knowledge and belief, true and complete. I am aware that there are significant penalties for knowingly submitting false statements and information, including the possibility of fines or imprisonment pursuant to Section 308(a) of the Clean Water Act, 33 U.S.C. § 1318(a).

As explained more fully in Appendix C, Oglesby may assert a claim of business confidentiality under 40 C.F.R. Part 2, Subpart B for any part of the information submitted to EPA. Information subject to a business confidentiality claim is available to the public only to the

extent, and by means of the procedures, set forth at 40 C.F.R. Part 2, Subpart B. If Oglesby does not assert a business confidentiality claim when submitting the information, EPA may make this information available to the public without further notice. Oglesby should be aware, however, that pursuant to Section 308(b) of the CWA, 33 U.S.C. § 1318(b), and 40 C.F.R. § 2.302(e), effluent data, standards, and limitations are not eligible for confidential treatment.

This information request is not subject to the Paperwork Reduction Act, 44 U.S.C. § 3501 *et seq.*, because it seeks collection of information from specific individuals or entities as part of an administrative action or investigation.

EPA may use any information submitted in response to this request in an administrative, civil or criminal action. Failure to comply fully with this information request may subject Oglesby to an enforcement action under Section 309 of the CWA, 33 U.S.C. § 1319, and 18 U.S.C. §§ 1001 and 1341.

You should direct any questions about this information request to Newton Ellens at (312) 353-5562 or [ellens.newton@epa.gov](mailto:ellens.newton@epa.gov).

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Michael D. Harris  
Director  
Enforcement and Compliance Assurance Division

## **Appendix A**

When providing the information requested in Appendix B, use the following instructions and definitions.

### **Instructions**

1. Provide a separate narrative response to each question and subpart of a question set forth in Appendix B.
2. Precede each answer with the number of the question to which it corresponds and, at the end of each answer, identify the person(s) who provided information used or considered in responding to that question, as well as each person consulted in the preparation of that response.
3. Indicate on each document produced, or in some other reasonable manner, the number of the question to which it corresponds.
4. When a response is provided in the form of a number, specify the units of measure of the number in a precise manner.
5. Where information or documents necessary for a response are neither in your possession nor available to you, indicate in your response why the information or documents are not available or in your possession, and identify any source that either possesses or is likely to possess the documents or information.
6. If information is not known or not available to you as of the date of submission and later becomes known or available to you, you must supplement your response. Moreover, should you find at any time after the submission of your response that any portion of the submitted information is false or incorrect, you must notify EPA as soon as possible.

### **Electronic Submissions**

To aid in our electronic recordkeeping efforts, we request that you provide all documents responsive to this information request in an electronic format according to paragraphs 1 through 6, below. These submissions are in lieu of hard copy.

1. Provide all responsive documents in Portable Document Format (PDF) or similar format, unless otherwise requested in specific questions. If the PDFs are scanned images, perform at least Optical Character Recognition (OCR) for “image over text” to allow the document to be searchable. Submitters providing secured PDFs should also provide unsecured versions for EPA use in repurposing text.
2. When specific questions request data in electronic spreadsheet form, provide the data and corresponding information in editable Excel or Lotus format, and not in image format. If Excel or Lotus formats are not available, then the format should allow for data to be used in calculations by a standard spreadsheet program such as Excel or Lotus.

3. Provide a table of contents for the electronic documents submitted in response to our request so that each document can be accurately identified in relation to your response to a specific question. *We recommend the use of electronic file folders organized by question number.*
4. Documents claimed as confidential business information (CBI) must be submitted in separate file folders apart from the non-confidential information. This will facilitate appropriate records management and appropriate handling and protection of the information claimed as CBI. Please follow the instructions in Appendix C for designating information as CBI.
5. Certify that all electronic submittals including attached files have been scanned for viruses and indicate what program was used.

### **Definitions**

All terms used in this Information Request have their ordinary meaning unless such terms are defined in the CWA, 33 U.S.C. § 1251 *et seq.*, or 40 C.F.R. Part 122.

1. “CWA” means the Clean Water Act, 33 U.S.C. § 1251 *et seq.*
2. “Day” or “days” means a calendar day unless expressly stated to be a working day. In computing any period of time under this Information Request, where the last day would fall on a Saturday, Sunday, or federal or state holiday, the period shall run until the close of business on the next working day.
3. “Discharge of a pollutant,” as defined in Section 502(12) of the CWA, means *inter alia*, “any addition of any pollutant to navigable waters from any point source.” 33 U.S.C. § 1362(12).
4. “MGD” means millions of gallons per day.
5. “Navigable waters,” as defined in Section 502(7) of the CWA, means “the waters of the United States, including the territorial seas.” 33 U.S.C. § 1362(7).
6. “NPDES Permit” and “Permit” mean the permit issued in accordance with the National Pollutant Discharge Elimination System (NPDES) pursuant to Section 402 of the CWA, 33 U.S.C. § 1342. In this Order, these terms mean NPDES Permit No. IL0024996, issued by the State of Illinois to Oglesby with an effective date of September 1, 2015, and an expiration date of August 31, 2010.
7. “Outfall” means a type of “point source,” as that term is defined in Section 502(14) of the CWA, 33 U.S.C. § 1362(14), that serves as a discharge point from the facility. “Outfall” followed by an Arabic numeral means that Outfall assigned that number in Oglesby’s NPDES permit.

8. "Record" or "records" means any recording of information in tangible or intangible form. It includes, but is not limited to documents, memoranda, reports, letters, maps, graphs, charts, log books, notes, computer printouts, and computer databases.
9. "Wastewater" shall mean any water/liquid and all material conveyed with that water/liquid that contains process wastewater, discarded material, noncontact cooling and/or heating water, or domestic wastewater.
10. "You" or "Your" for purposes of this Information Request refers to Oglesby and to any managers, officers, agents, employees, contractors, or other entities that perform work or act in any way on behalf of, or at the direction of Oglesby.
11. "Point source," as defined in Section 502(14) of the CWA, means "any discernible, confined and discrete conveyance . . . from which pollutants are or may be discharged." 33 U.S.C. § 1362(14).
12. "Pollutant," as defined in Section 502(6) of the CWA, means "dredged spoil, solid waste, incinerator residue, sewage, garbage, sewage sludge, munitions, chemical wastes, biological materials, radioactive materials, heat, wrecked or discarded equipment, rock, sand, cellar dirt, and industrial, municipal, and agricultural waste discharged into water." 33 U.S.C. § 1362(6).
13. "Publicly Owned Treatment Works" or "POTW" means a treatment works, as defined in Section 212 of the CWA, 33 U.S.C. § 1292(2), that is owned by a State, Tribe, or a municipality (as defined in Section 502(4) of the CWA, 33 U.S.C. § 1362(4)). Pursuant to 40 C.F.R. § 403.3(q), the definition of POTWs includes any devices and systems used in the storage, treatment, recycling, and reclamation of municipal sewage or industrial wastes of a liquid nature. It also includes sewers, pipes, and other conveyances only if they convey wastewater to a POTW Treatment Plant. The term also means the municipality as defined in Section 502(4) of the CWA, 33 U.S.C. § 1362(4), that has jurisdiction over indirect and direct discharges to such a treatment works.
14. "Wastewater Treatment Plant" or "WWTP" means the Wastewater Treatment Plant identified in the NPDES permit issued to Oglesby and located at 215 Field Avenue, Oglesby, Illinois.
15. "Approval Authority" means the appropriate Regional Administrator in a non-NPDES State or NPDES State without an approved State pretreatment program.
16. "Control Authority" means:
  - a. The POTW if the POTW's Pretreatment Program Submission has been approved in accordance with the requirements of 40 C.F.R. § 403.11; or
  - b. The Approval Authority if the Submission has not been approved.
17. "Industrial User" means a source of Indirect Discharge.

18. “Indirect Discharge” or “Discharge” means the introduction of pollutants into a POTW from any non-domestic source regulated under section 307(b), (c) or (d) of the CWA.
19. “Significant Industrial User” or “SIU” means:
  - a. All Industrial Users subject to Categorical Pretreatment Standards under 40 C.F.R. § 403.6 and 40 C.F.R. Chapter I, Subchapter N (40 C.F.R. §§ 401.10 *et seq.*); and
  - b. Any other Industrial User that: discharges an average of 25,000 gallons per day or more of process wastewater to the POTW (excluding sanitary, noncontact cooling and boiler blowdown wastewater); contributes a process wastestream which makes up 5 percent or more of the average dry weather hydraulic or organic capacity of the POTW Treatment plant; or is designated as such by the Control Authority on the basis that the Industrial User has a reasonable potential for adversely affecting the POTW’s operation or for violating any Pretreatment Standard or requirement (in accordance with 40 C.F.R. § 403.8(f)(6)).
20. “Interference” means a Discharge which, alone or in conjunction with a discharge or discharges from other sources, both:
  - a. Inhibits or disrupts the POTW, its treatment processes or operations, or its sludge processes, use or disposal; and
  - b. Therefore is a cause of a violation of any requirement of the POTW’s NPDES permit (including an increase in the magnitude or duration of a violation) or of the prevention of sewage sludge use or disposal in compliance with the following statutory provisions and regulations or permits issued thereunder (or more stringent State or local regulations): Section 405 of the Clean Water Act, the Solid Waste Disposal Act (SWDA) (including title II, more commonly referred to as the Resource Conservation and Recovery Act (RCRA), and including State regulations contained in any State sludge management plan prepared pursuant to subtitle D of the SWDA), the Clean Air Act, the Toxic Substances Control Act, and the Marine Protection, Research and Sanctuaries Act.
21. “Pass through” means a Discharge which exits the POTW into waters of the United States in quantities or concentrations which, alone or in conjunction with a discharge or discharges from other sources, is a cause of a violation of any requirement of the POTW’s NPDES permit (including an increase in the magnitude or duration of a violation).

## Appendix B

### Information You Are Required to Submit to EPA

The City of Oglesby (Oglesby or you) must submit the following information pursuant to Section 308(a) of the CWA, 33 U.S.C. § 1318(a):

1. Explain the cause of each exceedance of effluent limits of Permit IL0024996, as listed below. Also, describe the efforts Oglesby took and/or planned to take to reduce, eliminate and prevent recurrence of each exceedance.

Monitoring Period End Date	Outfall	Parameter	Limit	Limit Unit Desc.	Reported Limit	Reported DMR Value	Limit Percent Exceedance
03/31/2018	001-0	Nitrogen, ammonia total [as Nitrogen (N)]	2.8	Milligrams per Liter	DAILY MAXIMUM (MX)	3.62	29%
03/31/2018	001-0	Nitrogen, ammonia total [as N]	1.5	Milligrams per Liter	MONTHLY AVERAGE (MO AVG)	1.78	19%
04/30/2018	001-0	Nitrogen, ammonia total [as N]	2.5	Milligrams per Liter	DAILY MX	4.1	64%
04/30/2018	001-0	Nitrogen, ammonia total [as N]	1.5	Milligrams per Liter	MO AVG	3.168	111%
04/30/2018	001-0	Nitrogen, ammonia total [as N]	15	Pounds per Day	MO AVG	15.78	5%
05/31/2018	001-0	Nitrogen, ammonia total [as N]	2.5	Milligrams per Liter	DAILY MX	4.29	72%
05/31/2018	001-0	Nitrogen, ammonia total [as N]	1.5	Milligrams per Liter	MO AVG	2.09	39%
10/31/2018	001-0	Nitrogen, ammonia total [as N]	2.5	Milligrams per Liter	DAILY MX	8.38	235%
10/31/2018	001-0	Nitrogen, ammonia total [as N]	1.5	Milligrams per Liter	MO AVG	2.77	85%



Monitoring Period End Date	Outfall	Parameter	Limit	Limit Unit Desc.	Reported Limit	Reported DMR Value	Limit Percent Exceedance
10/31/2018	001-0	Coliform, fecal general	400	Number per 100 Milliliters	DAILY MX	T	99,999%
11/30/2018	001-0	Nitrogen, ammonia total [as N]	3.6	Milligrams per Liter	DAILY MX	3.68	2%
01/31/2019	001-0	Nitrogen, ammonia total [as N]	3.6	Milligrams per Liter	DAILY MX	3.9	8%
02/28/2019	001-0	Nitrogen, ammonia total [as N]	3.6	Milligrams per Liter	DAILY MX	4.63	29%
03/31/2019	001-0	Nitrogen, ammonia total [as N]	2.8	Milligrams per Liter	DAILY MX	8.7	211%
03/31/2019	001-0	Nitrogen, ammonia total [as N]	29	Pounds per Day	DAILY MX	50	72%
03/31/2019	001-0	Nitrogen, ammonia total [as N]	1.5	Milligrams per Liter	MO AVG	4.3	187%
03/31/2019	001-0	Nitrogen, ammonia total [as N]	15	Pounds per Day	MO AVG	34	127%
04/30/2019	001-0	Nitrogen, ammonia total [as N]	2.5	Milligrams per Liter	DAILY MX	3.52	41%
04/30/2019	001-0	Nitrogen, ammonia total [as N]	26	Pounds per Day	DAILY MX	41	58%
04/30/2019	001-0	Nitrogen, ammonia total [as N]	1.5	Milligrams per Liter	MO AVG	2.17	45%
04/30/2019	001-0	Nitrogen, ammonia total [as N]	15	Pounds per Day	MO AVG	22	47%
05/31/2019	001-0	Coliform, fecal general	400	Number per 100 Milliliters	DAILY MX	1340	235%
06/30/2019	001-0	Nitrogen, ammonia total [as N]	2.5	Milligrams per Liter	DAILY MX	6.14	146%
06/30/2019	001-0	Nitrogen, ammonia total [as N]	26	Pounds per Day	DAILY MX	53	104%
06/30/2019	001-0	Nitrogen, ammonia total [as N]	1.5	Milligrams per Liter	MO AVG	5.14	243%
06/30/2019	001-0	Nitrogen, ammonia total [as N]	15	Pounds per Day	MO AVG	35	133%

Monitoring Period End Date	Outfall	Parameter	Limit	Limit Unit Desc.	Reported Limit	Reported DMR Value	Limit Percent Exceedance
07/31/2019	001-0	Nitrogen, ammonia total [as N]	2.5	Milligrams per Liter	DAILY MX	13	420%
07/31/2019	001-0	Nitrogen, ammonia total [as N]	26	Pounds per Day	DAILY MX	46	77%
07/31/2019	001-0	Nitrogen, ammonia total [as N]	1.5	Milligrams per Liter	MO AVG	9	500%
07/31/2019	001-0	Nitrogen, ammonia total [as N]	15	Pounds per Day	MO AVG	39	160%
07/31/2019	001-0	Coliform, fecal general	400	Number per 100 Milliliters	DAILY MX	T	99,999%
08/31/2019	001-0	Nitrogen, ammonia total [as N]	2.5	Milligrams per Liter	DAILY MX	11.4	356%
08/31/2019	001-0	Nitrogen, ammonia total [as N]	26	Pounds per Day	DAILY MX	33	27%
08/31/2019	001-0	Nitrogen, ammonia total [as N]	1.5	Milligrams per Liter	MO AVG	10.6	607%
08/31/2019	001-0	Nitrogen, ammonia total [as N]	15	Pounds per Day	MO AVG	30	100%
08/31/2019	001-0	Coliform, fecal general	400	Number per 100 Milliliters	DAILY MX	T	99,999%
09/30/2019	001-0	Nitrogen, ammonia total [as N]	2.5	Milligrams per Liter	DAILY MX	17.4	596%
09/30/2019	001-0	Nitrogen, ammonia total [as N]	26	Pounds per Day	DAILY MX	96	269%
09/30/2019	001-0	Nitrogen, ammonia total [as N]	1.5	Milligrams per Liter	MO AVG	11.7	680%
09/30/2019	001-0	Nitrogen, ammonia total [as N]	15	Pounds per Day	MO AVG	57	280%
09/30/2019	001-0	Coliform, fecal general	400	Number per 100 Milliliters	DAILY MX	T	99,999%
10/31/2019	001-0	Nitrogen, ammonia total [as N]	2.5	Milligrams per Liter	DAILY MX	13.2	428%
10/31/2019	001-0	Nitrogen, ammonia total [as N]	26	Pounds per Day	DAILY MX	58	123%

Monitoring Period End Date	Outfall	Parameter	Limit	Limit Unit Desc.	Reported Limit	Reported DMR Value	Limit Percent Exceedance
10/31/2019	001-0	Nitrogen, ammonia total [as N]	1.5	Milligrams per Liter	MO AVG	7.6	407%
10/31/2019	001-0	Nitrogen, ammonia total [as N]	15	Pounds per Day	MO AVG	43	187%
10/31/2019	001-0	Chlorine, total residual	.75	Milligrams per Liter	DAILY MX	.8	7%
10/31/2019	001-0	Coliform, fecal general	400	Number per 100 Milliliters	DAILY MX	T	99,999%
11/30/2019	001-0	Nitrogen, ammonia total [as N]	3.6	Milligrams per Liter	DAILY MX	9.3	158%
11/30/2019	001-0	Nitrogen, ammonia total [as N]	37	Pounds per Day	DAILY MX	58.3	58%
12/31/2019	001-0	Nitrogen, ammonia total [as N]	3.6	Milligrams per Liter	DAILY MX	10.1	181%
12/31/2019	001-0	Nitrogen, ammonia total [as N]	37	Pounds per Day	DAILY MX	51	38%

2. Describe the number of significant industrial users (SIU) that discharge to the POTW.
3. Describe the number of industrial users (IUs) that truck or haul waste to the POTW.
4. For each SIU that discharges waste to the POTW, provide the following information:
  - a. Name and mailing address of the SIU;
  - b. Description of all industrial processes of the SIU that affect or contribute to the SIU's discharge;
  - c. Average daily volume of wastewater discharged by the SIU, indicating the amount attributable to process flow and non-process flow;
  - d. Whether the SIU is subject to categorical standards; and if so, under which category(ies) and subcategory(ies); and
  - e. Whether any problems at the POTW (e.g., upsets, pass through, or interference) have been attributed to the SIU in the past four and one-half years.

## **Appendix C**

### **Confidential Business and Personal Privacy Information**

#### **Assertion Requirements**

You may assert a business confidentiality claim covering any parts of the information requested in the attached Appendix B, as provided in 40 C.F.R. § 2.203(b).

To make a confidentiality claim, submit the requested information and indicate that you are making a claim of confidentiality. Any document for which you make a claim of confidentiality should be marked by attaching a cover sheet stamped or typed with a caption or other suitable form of notice to indicate the intent to claim confidentiality. The stamped or typed caption or other suitable form of notice should employ language such as “trade secret” or “proprietary” or “company confidential” and indicate a date, if any, when the information should no longer be treated as confidential. Information covered by such a claim will be disclosed by EPA only to the extent permitted and by means of the procedures set forth at Section 308(a) of the CWA and 40 C.F.R. Part 2. Please clearly identify portions of otherwise non-confidential documents that you are claiming as confidential. EPA will construe the failure to furnish a confidentiality claim with your response to the information request may result in the information being made available to the public without further notice to you.

#### **Determining Whether the Information Is Entitled to Confidential Treatment**

Pursuant to 40 C.F.R. Part 2, Subpart B, EPA may at any time send you a letter asking that you support your confidential business information (CBI) claim. If you receive such a letter, you must respond within the number of days specified by EPA. Failure to submit your comments within that time would be regarded as a waiver of your confidentiality claim or claims, and EPA may release the information. If you receive such a letter, EPA will ask you to specify which portions of the information you consider confidential by page, paragraph and sentence. Any information not specifically identified as subject to a confidentiality claim may be disclosed to the requestor without further notice to you. For each item or class of information that you identify as being CBI, EPA will ask that you answer the following questions, giving as much detail as possible:

1. For what period of time do you request that the information be maintained as confidential, e.g., until a certain date, until the occurrence of a special event or permanently? If the occurrence of a specific event will eliminate the need for confidentiality, please specify that event.
2. Information submitted to EPA becomes stale over time. Why should the information you claim as confidential be protected for the time period specified in your answer to question number 1?
3. What measures have you taken to protect the information claimed as confidential? Have you disclosed the information to anyone other than a governmental body or someone who

is bound by an agreement not to disclose the information further? If so, why should the information still be considered confidential?

4. Is the information contained in any publicly available databases, promotional publications, annual reports or articles? Is there any means by which a member of the public could obtain access to the information? Is the information of a kind that you would customarily not release to the public?
5. Has any governmental body made a determination as to confidentiality of the information? If so, please attach a copy of the determination.
6. Do you assert that the information is submitted on a voluntary or a mandatory basis? Please explain the reason for your assertion. If you assert that the information is voluntarily submitted information, explain whether and why disclosure of the information would tend to lessen the availability to EPA of similar information in the future.
7. Is there any other information you deem relevant to EPA's determination regarding your claim of business confidentiality?

If you receive a request for a substantiation letter from the EPA, you bear the burden of substantiating your confidentiality claim. Conclusory allegations will be given little or no weight in the determination.

EPA may also find that the information you claim as confidential is clearly not entitled to confidential treatment and will provide you a determination letter stating the same. Examples of information clearly not entitled to confidential treatment include effluent data, as defined in 40 C.F.R. § 2.302(A)(2), and information in National Pollution Discharge Elimination System permit applications are not entitled to confidential treatment. See 40 C.F.R. § 122.7.

### **Personal Privacy Information**

Please segregate any personnel, medical and similar files from your responses and include that information on a separate sheet(s) marked as "Personal Privacy Information." Disclosure of such information to the general public may constitute an invasion of privacy.